



1EW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of

Andreas **GRUHLE** and Horst **KIBBEL**

Application No.: **10/736,469**

Filed: **December 15, 2003**

For: **PROCESS AND DEVICE FOR TESTING ELECTRIC MOTORS, IN PARTICULAR FAN MOTORS, FOR FUNCTIONALITY**

Attorney Docket No.: **3926.062**

Customer Number: **000041288**

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 and §1.98**

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the documents set forth below and listed on the attached Form PTO-1449, are provided herewith.

1. German Patent No. 693 27 066 T2
2. European Patent No. 0 552 991 B1
3. U.S. Patent No. 5,739,698

Documents 2 and 3 are in the English language. The relevancy of Document 1 is explained below.

U.S. PATENT APPLICATION
SERIAL NO.: 10/736,469
INFORMATION DISCLOSURE STATEMENT

ATTY DOCK: 3926.062

Document 1

In a German Office Action, Document 1 was cited for teaching a device for testing the functionality of electric motors, including an antenna for receiving magnetic alternating fields, a unit for analysis of received signals for frequency components in the frequency range of the RPM values of the electric motors, and/or harmonics and an output unit for signaling functionality.

The relevancy of Document 1 can be seen in Document 2, which is an English language patent family member of Document 1.

The present Information Disclosure Statement is being filed after three months from the application's filing date but before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

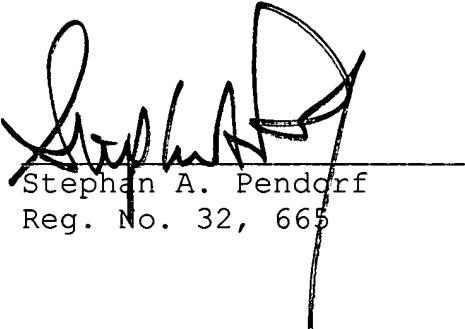
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

U.S. PATENT APPLICATION
SERIAL NO.: 10/736,469
INFORMATION DISCLOSURE STATEMENT

ATTY DOCK: 3926.062

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,



Stephan A. Pendorf
Reg. No. 32, 665

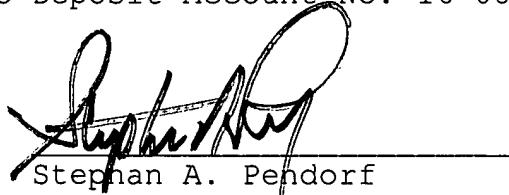
Pendorf & Cutliff
5111 Memorial Highway
Tampa, Florida 33634-7356
(813) 886-6085

Dated: **July 22, 2004**

CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT Form PTO-1449, including three (3) documents, for U.S. Application No. 10/736,469 filed December 15, 2003, were deposited in first class U.S. mail, postage prepaid, P.O. Box 1450, Alexandria, VA 22313-1450, on **July 22, 2004**.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.



Stephan A. Pendorf

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.